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Absolute configuration determination of 2-(2-oxo-3-indolyl)acetamide derivatives

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ABSTRACT

We describe a reliable method for determining the absolute configuration of 2-(2-oxo-3-indolyl)acetamides based on analysis of the ¹H NMR spectra of their phenylethylamide diastereomers. The conformational preferences for two diastereomeric amides were calculated by DFT, which matched well with the experimental results. X-ray diffraction analysis allowed us to validate the method.

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1. Introduction

The oxindole ring system is a very important structural unit found in many natural products and biologically active compounds.¹ In particular, 1,3,3-trisubtituted oxindole derivatives **1** have been widely applied in the total synthesis of terrestrial and marine natural products containing the furo- and pyrroloindole skeletons 2^2 (Fig. 1). The reduction of oxindoles 1 containing acid, ester, or amide functionalities on a C3 chain is the frequently used strategy to synthesize the corresponding systems 2. Many oxindole derivatives 1 are often found in Nature as optically active compounds in which a key problem is to find a suitable and easy method to assign their absolute configuration. NMR spectroscopy is a widely used method for the absolute configuration assignment of monofunctional and polyfunctional compounds, such as alcohols, amines, and carboxylic acids, when using Chiral Derivatizing Agents (CDAs), which contain an aryl ring that selectively directs its anisotropic cone toward a substituent on the asymmetric unit of the substrate, thereby reflecting their spatial relationship with respect to the aryl ring and absolute configuration.³ Although predictions of absolute configuration of α -chiral carboxylic acids by this means are very well documented, the absolute configuration assignment of chiral β-branched carboxylic acids has scarcely been investigated.⁴ Among several CDAs available for the configurational assignment of α - and β -branched carboxylic acids, 1-arylethylamines are the most widely used reagents due to the conformational properties of the resulting RC(=O)NHCH-(Me)Ar substructural unit.^{4a,b,5} As 2-(2-oxo-3-indolyl)acetic acid derivatives 1 represent a very important structural unit in natural product synthesis and biological important targets, herein we show that inexpensive enantiomerically pure phenylethylamine (FEA) could be used as a reliable reagent to determine the absolute configuration of C3-chiral oxindoles of type **1**. To pursue this achievement, we used molecular mechanics and DFT calculations to predict the conformational preferences of two diastereomeric amides and compared these data with those obtained by ¹H NMR spectroscopy. This absolute configuration assignment methodology was confirmed by X-ray diffraction analysis.

2. Results and discussion

To investigate the utility of (R)-phenylethylamine [(R)-FEA] as a CDA for the absolute configuration assignment of the C3 stereogenic center in 2-oxo-3-indolylacetic acid derivatives **9a-e** containing a variety of alkyl groups at the N1 and C3 positions, diastereomeric amides 11a-e were synthesized according to Scheme 1. Thus, treatment of 3 with KOH/TBAB/THF and subsequent alkylation with MeI, EtBr, or BnBr gave N-alkylindoles 4a-c in 92%, 90%, or 87% yield, respectively, which were oxidized with DMSO/HCl⁶ at room temperature to afford the corresponding 2-(2-oxo-3-indolyl)acetic acid derivatives 5a-c (68-71%) together with methyl 2-(2-oxo-3-indolyl)acetate derivatives 6a-c (21-25%). Methyl esterification of oxindoles **5a-c** with MeOH/H⁺ at reflux⁶ afforded esters 6a-c (83-86% overall yield from 4a-c). Subsequent C3 alkylation of **6a–c** and **8** with the corresponding alkyl halide MeI, EtBr, or BnBr using K₂CO₃/acetone gave N1,C3-dialkyloxindole derivatives 7a-e (86-91% yields).⁷ Alkaline hydrolysis of esters **7a**-e (NaOH/H₂O/ MeOH) followed by acidification with HCl/H₂O gave carboxylic acids 9a-e (85-89% yields).

With racemic samples of **9a–e** now being readily available, amide derivatization with (R)- α -FEA **10** [(R)-**10**] was carried out by activation of carboxylic acids **9** with ClCO₂Et and Et₃N⁸ followed

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Figure 1. by reaction with the amine to give equimolar diastereomeric mixtures of (3R,11R)-11a-e (41-43%) and (3S,11R)-11a-e (40-42%), as evidenced by ¹H NMR of the reaction crudes, which indicated that no kinetic resolution occurred in any case. The diastereomeric

amides (3R,11R)-11a-e and (3S,11R)-11a-e were easily separated by column chromatography due to their different R_f values (Table 1) to afford enantiomerically pure isomers (de >99% as determined by ¹H NMR).

Interpretation of the ¹H NMR spectra of the two resulting sets of diastereomers (3R,11R)-11a-e and (3S,11R)-11a-e, combined with the evaluation of their representative low energy conformations, allowed absolute configuration assignment at the C3 stereogenic center of the chiral oxindole unit substrate. Thus, both diastereomeric amides 11a were used as representative models for this goal. The ¹H NMR spectra allowed discrimination of the amides (3R,11R)-11a and (3S,11R)-11a since these compounds showed large enough chemical shift differences ($\Delta \delta^{RS} = \delta_R - \delta_S$, where the R and S descriptors refer to the stereogenic C3 configuration in the oxindole moiety^{4c}) for diagnostic signals N1-Me, C3-Me, and H8A (Table 2). Essentially, these $\Delta \delta^{RS}$ values arise from the diamagnetic influence of the aromatic (R)-FEA moiety on the NMR signals of N1-Me and C3-Me groups in the (3R)- and (3S)-stereogenic centers of the oxindole skeleton. As shown in Figure 2 the signals due to N1-Me anisochronous protons, which are far from the C3 stereogenic C_{β} -center, show a higher chemical shift difference [and sign $(-\Delta\delta^{RS})$] than those of the closer C3-Me group $(+\Delta\delta^{RS})$ (Fig. 2, Table 2). Also, considering the polarity of diastereoisomeric amides 11a (Table 1), it is shown that the N1-Me group signal of the less polar (3R,11R)-**11a** appears at lower frequency than that of the more polar (3S,11R)-11a (Fig. 2), while the C3-Me signal for the more polar (3S,11R)-**11a** appears at lower frequency than that of the less polar (3R,11R)-**11a**.

The assumption that the aromatic (R)-FEA moiety influences the chemical shifts of N1-Me and C3-Me groups in **11a-e** is reinforced when considering the δ values (range 3.17–3.29 ppm)^{2b–f,9} for the *N*-Me group signals in several derivatives of type **1** without an aromatic moiety at the C_{α} -phenyethylacetamide unit at C3. The observed signs for $\Delta \delta^{RS}$ between both diastereomers of **11a** could be accounted for by using the representation proposed in Figure 3, where the substituent arrangement around C3 in (3R,11R)-11a shows that the dominating conformation of the amide should be that in which the orientation of the magnetic anisotropy imposed by the phenyl group of the (R)-FEA moiety should spend enough time to shield the protons of the N1-Me group, whereas those in the C3-Me group remain unaffected. In the (3S,11R)-**11a** derivative, the C3-Me group is protected while the N1-Me group is unaffected (Fig. 3). Therefore, the N1-Me group will be more shielded in (3R,11R)-11a than in (3S,11R)-11a, while the C3-Me group will be more shielded in (3S,11R)-**11a** than in (3R,11R)-**11a**.

Using conformational arguments, the structures in Figure 4 (3R,11R)-**11a** (A) and (3S,11R)-**11a** (B) always have anti-periplanar (ap)(C=O)-(N-H)-(C-H) arrays (χ and θ angles).⁵ These ap conformations were supported by X-ray crystallographic analyses and DFT calculations of both diastereomers of 11a. As shown in Figure 4, free rotation around the C8–C=O bond generates the three main conformers I-III. In conformer II, the shift of the N1-Me protons of the (3R,11R)-11a diastereomer and the C3-Me protons of the (3S,11R)-11a diastereomer should always be upfield as a result of the anisotropic shielding of the phenyl ring. Therefore conformer II is the predominant rotamer of diastereomeric amides 11a.

The above-mentioned methodology of ¹H NMR configuration assignment was extended to include 2-oxindole carboxylic amides with substituents other than a methyl group at N1 and C3 positions, including the substrates shown in Table 2; the results confirm the applicability of the methodology. The $\Delta \delta^{RS}$ values obtained for amides **11b-e** have the same sign for the N1-CH₂-R and C3–CH₂–R groups and for the C8 AB system, as those obtained for 11a, which suggests that amides 11a-e adopt similar conformations at the C3–C8–C(=O)–N(H)–C11 fragment (ϕ , ϕ , χ , and θ angles). As shown in Table 2, the $+\Delta\delta^{RS}$ magnitude values (0.03– 0.07) for the C3-CH₂-R group in oxindole-amides 11a-e are comparable to those reported for other types of amides containing FEA as a CDA and a methyl group at the β -position.^{4a,b} However, the $-\Delta\delta^{RS}$ magnitude values (0.09–0.23) for the N1–CH₂–R group are longer than the $\Delta\delta$ values reported for the substituents at positions other than the β position in β -branched substituted carboxylic acids,^{4a,b} suggesting that in our case, FEA is a more reliable reagent to assign the absolute configuration of chiral amides **11a-e**.

Further evidence to explain the $\Delta \delta^{RS}$ values observed in Figure 2 and Table 2 was provided when a systematic molecular modeling protocol with Monte Carlo searching^{10–12} and geometry optimization using DFT calculations at the B3LYP/6-31G(d),^{5,12,13} and B3LYP/DGDZVP^{14,15} levels of theory was applied to amides (3R,11R)-11a and (3S,11R)-11a.¹⁶ According to the conformer contribution,^{17,18} only 5 conformers arose as relevant components (99.3%) for amide (3*R*,11*R*)-**11a** and only 4 conformers (99.7%) for amide (3S,11R)-11a, which are shown in Figures 5 and 6, respectively.

It is worth noting that an anti-disposition between C11-H and N10-H was invariably detected as the most stable conformer irrespective of the diastereomeric amide studied showing θ values between -135.7° and 172.6° for the (3R,11R)-11a conformers and between -137.2° and 168.5° for the (3S,11R)-**11a** conformers. This result implies that the Z-conformer at the C=O-NCH $_{\alpha}$ moiety is always significantly preferred over the E-conformer and leaves the free conformation around the ϕ and ϕ angles (Fig. 4) as the dominating process in both diastereomers. Conformers 11aA and 11aB are depicted as the most populated in the conformational equilibria



Scheme 1. Synthesis of diastereomeric amides 11a-e.

for both diastereomeric amides (3R,11R)-**11a** (64.9% and 20.4%) (Fig. 5) and (3S,11R)-**11a** (69.2% and 17.6%) (Fig. 6), while the remaining conformers are minor contributors. It is interesting to note that for (3R,11R)-**11a**, the global minimum structure **11a***A*

Table 1	1
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$R_{\rm f}^{\rm a}$ values of diastereometric amides 1	1a-e
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Compound	$(3R, 11R)$ -Diastereomer $R_{\rm f}$	$(3S, 11R)$ -Diastereomer $R_{\rm f}$
11a	0.34	0.18
11b	0.38	0.28
11c	0.48	0.32
11d	0.44	0.24
11e	0.58	0.40

^a Determined by TLC (Silica gel F_{254} coated aluminum sheets 0.25 mm thickness) using EtOAc/hexane (1:2, v/v).

has the CDA phenyl ring pointing toward the *N*1-Me group in exact coincidence with conformer **II** presented in Figure 4, trace A. Regarding (3*S*,11*R*)-**11a**, the global minimum structure **11a***A* has the CDA phenyl group oriented in close proximity to the C3-Me group and also in coincidence with conformer **II** as shown in Figure 4, trace B. In both cases, the global minimum of both amides corresponded very well with those predicted in Figure 4 and with the experimental results. Thus, computational results reinforce the assignment of the absolute configuration in (3*R*,11*R*)-**11a** and (3*S*,11*R*)-**11a**.

From the minimum energy conformational analysis of (3R,11R)-**11a**, a hydrogen bond between the amide hydrogen and the carbonyl oxygen atom would be expected, but a detailed analysis of the geometry of this fragment revealed that such a hydrogen bond is only allowed for conformer (3R,11R)-**11aB** (Fig. 5). In the case of amide (3S,11R)-**11a** such a hydrogen bond is formed for the most





^a $\Delta \delta^{RS}$ Values ($\delta_R - \delta_S$) were calculated by subtracting the proton chemical shifts of (3*S*)-oxyndole-(11*R*)-FEA from those of (3*R*)-oxyndole-(11*R*)-FEA (A) while $\Delta \delta^{SR}$ values ($\delta_S - \delta_R$) were calculated by subtracting the proton chemical shifts of (3*R*)-oxyndole-(11*S*)-FEA from those of (3*S*)-oxyndole-(11*S*)-FEA (B).^{4c}

abundant (3S,11R)-11aA conformer (Fig. 6). Thus, we envisioned that the solvent polarity, apart from intramolecular interactions, should govern the orientation of the substituents in amides 11ae. Therefore, we next examined the ¹H NMR spectra of amides (3R,11R)-11a and (3S,11R)-11a, using Cl₂CDCDCl₂, CD₃OD, DMSO d_6 , CD₃CN, and acetone- d_6 (Table 3). The δ values of diagnostic signals in both diastereomeric amides in Cl₂CDCDCl₂ are consistent with trends observed in CDCl₃, although δ decreased smoothly. However, in solvents more polar than CDCl₃ no significant differences in δ for the N1-Me and C3-Me protons were observed between both (3R,11R)-11a and (3S,11R)-11a diastereomers. An additional point of interest in Table 3 is related to the chemical shifts for protons H8. The chemical shifts of these AB system vary notably between amides (3R,11R)-11a and (3S,11R)-11a when the spectra were obtained in CD₃OD and CD₃CN (Table 3), and the same trend was observed between amides (3R,11R)-11c-e and (3S,11R)-11c-e (Table 4). In the case of amides 11a,c-e the difference in chemical shift for the H8A and H8B signals ($\Delta\delta$) was always higher in amides (3S,11R)-11a,c-e than in the (3R,11R)-11a,c-e stereoisomer (Tables 3 and 4). Furthermore, in amides **11a-e** the average chemical shift for H8A and H8B was always higher in (3S,11R)-11a-e than in (3R,11R)-11a-e. Thus, we can initially predict the relative configuration of each amide 11 by inspection of the chemical shift difference for the C8 AB protons when measured in CD₃OD or in CD₃CN.

The reliability of our methodology for the absolute configuration assignment of 2-(2-oxo-3-indolyl)acetamide derivatives **11a–e** by ¹H NMR was supported by X-ray diffraction analysis of amides (3R,11R)-**11a**, (3S,11R)-**11b**, (3S,11R)-**11c**, (3R,11R)-**11d**, and (3S,11R)-**11d** whose absolute configuration structures are shown in Figure 7. Thus, these results validate the method for the assignment of the absolute configuration of oxindole carboxylic amides **11a–e** by ¹H NMR using (R)-FEA as a CDA.

As Nature usually produces only one chiral enantiomer or diastereomer, it was important to use (S)-FEA [(S)-10] as a CDA to resolve a mixture of (±)-9a and to study its effect on the chemical shifts for N1-Me and C3-Me signals in order to establish the absolute configuration of chiral acid derivatives of type **9** using both FEA enantiomers. As expected, preferential shielding of the N1-Me group was observed in the diastereomer (3S,11S)-11a $(\Delta \delta^{SR} = -0.16)$, whereas preferential shielding of the C3-Me group was observed in the (3*R*,11*S*)-**11a** one ($\Delta \delta^{SR} = 0.06$) (Table 2). Amides (3R,11S)-11a and (3S,11S)-11a gave suitable crystals for X-ray diffraction analysis and the corresponding absolute configuration structures are shown in Figure 8. According to the aforementioned methodology, it is now possible to establish the absolute configuration of reported amides⁸ (3S,11S)-11f and (3R,11S)-11f (Table 2). In the less polar (3S,11S)-**11f** diastereomer ($R_f = 0.60$, EtOAc/hexane, 1:1 v/v), the N1-CH₂-R group appears at lower frequency than that of the more polar (3R,11S)-**11f** (R_f = 0.27, EtOAc/



Figure 2. Partial ¹H NMR spectra illustrating the $\Delta \delta^{RS}$ for N1-Me, H8A, and C3-Me in (3R,11R)-11a and (3S,11R)-11a.



C3-Me: $\Delta \delta^{RS} > 0$

Figure 3. *N*1-Me and C3-Me anisotropic shielding in amides (3*R*,11*R*)-11a and (3*S*,11*R*)-11a.

hexane, 1:1 v/v) compound. On the contrary, the C3–CH₂–R signal for the more polar (3R,11S)-**11f** appears at lower frequency than that of the less polar (3S,11S)-**11f**.

3. Conclusion

In conclusion, we have demonstrated that the absolute configuration assignment of $2-(2-\infty - 3-indolyl)$ acetic acid derivatives **9** could be achieved by its derivatization with either commercially available enantiomer of FEA (*R*)-**10** or (*S*)-**10** and subsequent ¹H NMR analysis of their corresponding amides **11**. A general assumption might be made for the configuration assignment as follows: (3*R*,11*R*)- and (3*S*,11*S*)- stereoisomers of amides of type **11** will present the *N*1-CH₂-R proton signal at a lower frequency value ($-\Delta\delta^{RS}$ or $-\Delta\delta^{SR}$) and the C3-CH₂-R proton signal at a higher frequency value ($+\Delta\delta^{RS}$ or $+\Delta\delta^{SR}$) when compared to their corresponding (3*S*,11*R*)- and (3*R*,11*S*)-diastereomers.



Figure 4. Preferred conformations of amides (3R,11R)-11a (A) and (3S,11R)-11a (B).

4. Experimental

4.1. General experimental procedures

Melting points were determined on a Büchi B-540 apparatus. IR spectra were recorded on a Perkin-Elmer 2000 FT-IR spectrophotometer. The 400 and 100 MHz ¹H and ¹³C NMR spectra were obtained on a IEOL Eclipse 400 spectrometer and the 300 and 75 MHz ¹H and ¹³C NMR spectra were obtained on a Varian Mercury-300 spectrometer using CDCl₃, DMSO-d₆, Cl₂CDCDCl₂, CD₃OD, CD_3CN , or acetone- d_6 as the solvents and TMS as the internal reference. For complete assignments 2D NMR, HMQC, HSQC, HETCOR, and HMBC spectra were used. Data are reported as follows: chemical shift in ppm from TMS, integration, multiplicity (s = singlet, d = doublet, t = triplet, q = quartet, quintet, m = multiplet, br = broad), coupling constant (Hz), and assignment. GC/MS analyses were conducted on a Varian CP 3800 GC equipped with a Varian Saturn 2000 selective mass detector and a 30 m, 0.25 mm i.d., 0.25 mm CP-SIL capillary column, using helium as carrier gas (1 mL/min), programed from 70 °C to 250 °C at a rate of 30 °C/min, with the injector temperature at 200 °C. MS analyses were obtained in the electron impact (EI) mode at an ionizing voltage of 70 eV on a Hewlett Packard 5989-A spectrometer. High-resolution (HR) mass spectra were measured on a JEOL JMS-SX 102A mass spectrometer at Instituto de Química, UNAM-México and at the UCR Mass Spectrometry Facility, University of California, Riverside. Microanalytical determinations were performed on a Perkin-Elmer 2400 series PCII apparatus. Optical rotation measurements were performed on a Perkin-Elmer 341 polarimeter. Analytical thin-layer chromatography (TLC) was done on silica gel F254 coated aluminum sheets (0.25 mm thickness) with a fluorescent indicator. Visualization was accomplished with UV light (254 nm). Flash chromatography was done using Silica Gel 60 (230-400 mesh) from Aldrich.

4.2. General procedure for the preparation of *N*-alkyl indoles **4**a–c

To a solution of indole-3-acetic acid methyl ester **3** (2 g, 10.6 mmol) in dry THF (20 mL) were added the appropriate alkyl halide (Mel, 2.6 mL, EtBr, 3.2 mL, or BnBr, 5.0 mL, 42 mmol), TBAB (212 mg, 0.66 mmol), and KOH (2.35 g, 42 mmol). The mixture was stirred at room temperature over 8 h and filtered off through a Celite pad. The solvent was removed under reduced pressure and the residue was dissolved in CH_2Cl_2 (30 mL), washed with H_2O

 $(1\times10$ mL), saturated solution of NaHCO₃ (1 \times 10 mL), brine (1 \times 10 mL), dried over anhydrous Na₂SO₄, filtered, and concentrated in vacuum. Resultant crudes **4a–c** were purified by flash column chromatography on silica gel with EtOAc/hexane 1:10 for **4a** and **4b** and 1:8 for **4c**.

4.3. Methyl 2-(1-methyl-1H-3-indol-3-yl)acetate 4a

Prepared from **3** as a yellow oil (1.98 g, 92%).¹⁹ ¹H NMR (300 MHz, CDCl₃): δ 7.58 (1H, br d, *J* = 7.7 Hz, H4), 7.27 (1H, br d, *J* = 8.0 Hz, H7), 7.21 (1H, td, *J* = 7.4, 1.1 Hz, H6), 7.11 (1H, td, *J* = 7.4, 1.5 Hz, H5), 7.00 (1H, s, H2), 3.75 (2H, s, H8), 3.70 (3H, s, H11), 3.67 (3H, s, H10); ¹³C NMR (75 MHz, CDCl₃): δ 172.5 (C9), 136.8 (C7a), 127.6 (C2), 127.5 (C3a), 121.7 (C6), 119.1 (C5), 118.8 (C4), 109.2 (C7), 106.6 (C3), 51.8 (C10), 32.5 (C11), 31.0 (C8).

4.4. Methyl 2-(1-ethyl-1H-3-indol-3-yl)acetate 4b

Prepared from **3** as a yellow oil (2.06 g, 90%). ¹H NMR (300 MHz, CDCl₃): δ 7.59 (1H, ddd, *J* = 7.8, 1.4, 0.8 Hz, H4), 7.29 (1H, dt, *J* = 8.3, 1.1 Hz, H7), 7.20 (1H, ddd, *J* = 8.1, 6.9, 1.1 Hz, H6), 7.10 (1H, ddd, *J* = 8.0, 6.9, 1.4 Hz, H5), 7.07 (1H, br s, H2), 4.08 (2H, q, *J* = 7.3 Hz, H11), 3.75 (2H, d, *J* = 0.8 Hz, H8), 3.67 (3H, s, H10), 1.41 (3H, t, *J* = 7.3 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 172.5 (C9), 135.8 (C7a), 127.7 (C3a), 125.9 (C2), 121.5 (C6), 119.0 (C5), 118.9 (C4), 109.3 (C7), 106.7 (C3), 51.8 (C10), 40.7 (C11), 31.0 (C8), 15.3 (C12); IR (CHCl₃) v_{max} 3056, 2953, 2920, 2850, 1730, 1613, 1467 cm⁻¹; EIMS *m/z* (relative intensity) 217 (M⁺, 41), 158 (100), 130 (10). FAB-HRMS *m/z* calcd for C₁₃H₁₅NO₂: 217.1103, found: 217.1099.

4.5. Methyl 2-(1-benzyl-1H-3-indol-3-yl)acetate 4c

Prepared from **3** as a yellow oil (2.56 g, 87%). ¹H NMR (300 MHz, CDCl₃): δ 7.61 (1H, br d, J = 6.8 Hz, H4), 7.27–7.17 (4H, m, H7, H14–H16), 7.15 (1H, td, J = 7.0, 1.5 Hz, H6), 7.10 (1H, br t, J = 7.3 Hz, H5), 7.07 (2H, dd, J = 6.6, 2.2 Hz, H13, H17), 7.06 (1H, br s, H2), 5.19 (2H, s, H11), 3.75 (2H, s, H8), 3.65 (3H, s, H10); ¹³C NMR (75 MHz, CDCl₃): δ 172.3 (C9), 137.3 (C12), 136.4 (C7a), 128.6 (C14,C16), 127.8 (C3a), 127.5 (C15), 127.0 (C2), 126.7 (C13,C17), 121.9 (C6), 119.3 (C5), 119.0 (C4), 109.7 (C7), 107.4 (C3), 51.8 (C10), 49.8 (C11), 31.0 (C8); IR (CHCl₃) ν_{max} 3059, 3030, 2950, 2918, 1736, 1615, 1467 cm⁻¹; EIMS *m/z* (relative intensity) 279 (M⁺, 65), 221 (100), 91 (38). Anal. Calcd for C₁₈H₁₇NO₂: C, 77.40; H, 6.13; N, 5.01. Found: C, 77.05; H, 6.19; N, 5.41.



Figure 5. Optimized geometries, calculated energies (*E*/kcal/mol), relative energy differences (*E*_{rel}/kcal/mol) and populations (*p* in %) for (3*R*,11*R*)-**11aA–E** conformers obtained at the DFT B3LYP/DGDZVP level of theory.

4.6. General procedure for the preparation of oxindoles 5a-c and 6a-c from 4a-c

To a solution of the appropriate indoles **4a–c** (0.02 mol) in DMSO (7 mL, 10 mmol) was added an aqueous solution (36%) of HCl (46 mL, 0.54 mol) and the solution was stirred at room temperature for 2.5 h. The mixture was diluted with water (100 mL), neutralized with a saturated solution of NaHCO₃, and extracted with EtOAc (6×70 mL). The organic layer was washed with brine (2×100 mL), dried over anhydrous Na₂SO₄, filtered, and evaporated to dryness in vacuum. The resultant crude products **6a–c** were purified by flash column chromatography on silica gel eluting with EtOAc/hexane 1:3.

The aqueous phase was acidified to pH 1 with aqueous HCl and extracted with EtOAc (4 \times 30 mL). The combined organic

layers were washed with brine $(2 \times 30 \text{ mL})$, dried over anhydrous Na₂SO₄, filtrated, and evaporated to dryness in vacuum. The resultant crude products **5a–c** were purified by flash chromatography on silica gel using EtOAc/hexane 3:2 for **5a** and **5b** and 1:1 for **5c**.

4.7. General procedure for the esterification of oxindoles 5a-c

To a solution of the appropriate oxindoles **5a–c** (13.2 mmol) in MeOH (30 mL) was added *p*-toluenesulfonic acid (100 mg) and heated at reflux for 4 h. After cooling to room temperature the MeOH was evaporated under reduced pressure and the residue was dissolved in EtOAc (30 mL). The organic phase was washed with a saturated solution of NaHCO₃ (3 × 20 mL), brine (3 × 20 mL), dried over Na₂SO₄ and evaporated to dryness in vac-



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Figure 6. Optimized geometries, calculated energies (*E*/kcal/mol), relative energy differences (*E*_{rel}/kcal/mol) and population (*p* in %) for (3*S*,11*R*)-**11a***A*–*D* conformers obtained at the DFT B3LYP/DGDZVP level of theory.

Table 3

Diagnostic chemical shifts (δ)^a for N1-Me, C3-Me, and CH₂ groups and $\Delta\delta$ values for the AB system in (3*R*,11*R*)-11a and (3*S*,11*R*)-11a using various solvents

Solvent	Chemical shift, δ (ppm)							
	(3 <i>R</i> ,11 <i>R</i>)- 11a				(35,11	<i>R</i>)- 11a		
	N1-Me	C3-Me	H8A, H8B	$\Delta\delta$	N1-Me	C3-Me	H8A, H8B	$\Delta\delta$
CDCl₃	3.06	1.42	2.77, 2.64	0.13	3.22	1.36	2.80, 2.66	0.14
Cl ₂ CDCDCl ₂	3.01	1.32	2.71, 2.53	0.18	3.12	1.28	2.76, 2.54	0.22
CD₃OD	3.22	1.36	2.84	0	3.21	1.35	2.91, 2.88	0.03
DMSO- d_6	3.06	1.20	2.76, 2.61	0.15	3.05	1.20	2.81, 2.68	0.13
CD_3CN	3.12	1.30	2.75	0	3.12	1.28	2.81, 2.77	0.04
$(CD_3)_2CO$	3.13	1.30	2.79, 2.70	0.09	3.18	1.32	2.86, 2.79	0.07

^a Room temperature measurements at 300 MHz.

Table 4

Chemical shift (δ , ppm) and $\Delta\delta$ (ppm) values for H8 of (3 <i>R</i> ,11 <i>R</i>)- 11b–e and (3S,11R)-
11b-e in CD ₃ OD and CD ₃ CN ^a	

Compound		Chemical shift, δ (ppm)			
	CD ₃ OD	CD ₃ OD			
	H8A, H8B	$\Delta\delta$	H8A, H8B	$\Delta\delta$	
(3R,11R)- 11b	2.83	0	2.71	0	
(3S,11R)- 11b	2.88	0	2.77, 2.75	0.02	
(3R,11R)- 11c	3.00, 2.98	0.02	2.86, 2.84	0.02	
(3S,11R)- 11c	3.06, 3.01	0.05	2.93, 2.86	0.07	
(3R,11R)- 11d	2.83	0	2.71	0	
(3S,11R)- 11d	2.88, 2.85	0.03	2.77, 2.74	0.03	
(3R,11R)- 11e	2.90	0	2.80	0	
(3 <i>S</i> ,11 <i>R</i>)- 11e	2.96, 2.92	0.04	2.87, 2.82	0.05	

^a Room temperature measurements at 300 MHz.

uum. The resultant crude products **6a–c** were purified by flash column chromatography on silica gel eluting with EtOAc/hexane 1:3.

4.8. 2-(1-Methyl-2-oxo-2,3-dihydro-1H-indol-3-yl)acetic acid 5a

Prepared from **4a** as colorless crystals (2.91 g, 71%), mp: 167–168 °C (EtOAc/hexane). Lit.²⁰ 168–170 °C. ¹H NMR (400 MHz, DMSO-*d*₆): δ 11.0 (1H, br s, H10), 7.31 (1H, br d, *J* = 7.3 Hz, H4), 7.30 (1H, br t, *J* = 8.1 Hz, H6), 7.04 (1H, br t, *J* = 7.4 Hz, H5), 7.00 (1H, br d, *J* = 7.7 Hz, H7), 3.72 (1H, dd, *J* = 6.6, 5.1 Hz, H3), 3.15 (3H, s, H11), 2.97 (1H, dd, *J* = 17.1, 4.6 Hz, H8A), 2.77 (1H, dd, *J* = 17.2, 6.9 Hz, H8B); ¹³C NMR (100 MHz, DMSO-*d*₆): δ 177.4 (C2), 173.2 (C9), 145.4 (C7a),



(3*R*,11*R*)-**11a**



(3*S*,11*R*)-**11a**



(3*S*,11*R*)-**11b**



(3*S*,11*R*)-**11c**



(3*R*,11*R*)-**11d**



(3*S*,11*R*)-**11d**

Figure 7. X-ray diffraction structures of (3*R*,11*R*)-11a, (3*S*,11*R*)-11a, (3*S*,11*R*)-11b, (3*S*,11*R*)-11c, (3*R*,11*R*)-11d, and (3*S*,11*R*)-11d.

129.6 (C3a), 128.9 (C6), 124.4 (C4), 122.9 (C5), 109.3 (C7), 42.4 (C3), 35.2 (C8), 27.1 (C11); IR (KBr) $v_{\rm max}$ 3440, 2923, 2889, 2595, 2525, 1725, 1671, 1611, 1200, 1177 cm⁻¹; EIMS *m/z* (relative intensity) 205 (M⁺, 47), 159 (100).

4.9. 2-(1-Ethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetic acid 5b

Prepared from **4b** as colorless crystals (3.10 g, 71%), mp: 182–184 °C (EtOAc/hexane). ¹H NMR (400 MHz, DMSO- d_6): δ 12.00



(3*S*,11*S*)-**11a**

(3R,11S)-11a

Figure 8. X-ray diffraction structures of (3S,11S)-**11a** and (3R,11S)-**11a**.

(1H, br s, H10), 7.31 (1H, d, J = 7.3 Hz, H4), 7.28 (1H, t, J = 8.0 Hz, H6), 7.02 (1H, d, J = 7.3 Hz, H7), 7.01 (1H, t, J = 8.0 Hz, H5), 3.75–3.61 (3H, m, H3 y H11), 2.94 (1H, dd, J = 16.9, 4.4 Hz, H8A), 2.76 (1H, dd, J = 16.9, 6.6 Hz, H8B), 1.12 (3H, t, J = 7.3 Hz, H12); ¹³C NMR (100 MHz, DMSO- d_6): δ 176.2 (C2), 172.2 (C9), 143.5 (C7a), 128.8 (C3a), 128.0 (C6), 123.6 (C4), 121.8 (C5), 108.4 (C7), 41.4 (C3), 34.2 (C8,C11), 12.5 (C12); IR (KBr) v_{max} 3434, 3030, 2970, 2927, 1728, 1654, 1609, 1467 cm⁻¹; EIMS *m/z* (relative intensity) 219 (M⁺, 35), 173 (100), 160 (28), 159 (62), 158 (92). Anal. Calcd for C₁₂H₁₃NO₃: C, 65.74; H, 5.98; N, 6.39. Found: C, 65.56; H, 5.98; N, 6.34.

4.10. 2-(1-Benzyl-2-oxo-2,3-dihydro-1H-indol-3-yl)acetic acid 5c

Prepared from **4c** as colorless crystals (3.85 g, 68%), mp: 126– 127 °C (EtOAc). ¹H NMR (300 MHz, CDCl₃): δ 10.69 (1H, br s, H10), 7.32–7.20 (6H, m, H4, H13–H17), 7.16 (1H, br t, *J* = 7.6 Hz, H6), 7.00 (1H, td, *J* = 7.5, 0.9 Hz, H5), 6.71 (1H, br d, *J* = 7.8 Hz, H7), 4.99, 4.85 (2H, AB system, *J* = 15.7 Hz, H11), 3.87 (1H, dd, *J* = 7.5, 4.7 Hz, H3), 3.16 (1H, dd, *J* = 17.3, 4.7 Hz, H8A), 2.95 (1H, dd, *J* = 17.3, 7.5 Hz, H8B); ¹³C NMR (75 MHz, CDCl₃): δ 177.0 (C2), 176.1 (C9), 143.2 (C7a), 135.5 (C12), 128.7 (C14,C16), 128.3 (C6), 127.7 (C15), 127.6 (C3a), 127.2 (C13,C17), 123.8 (C4), 122.7 (C5), 109.3 (C7), 43.9 (C11), 41.6 (C3), 34.5 (C8); IR (CHCl₃) ν_{max} 3061, 3031, 2928, 1712, 1614, 1490, 1467 cm⁻¹; EIMS *m/z* (relative intensity) 281 (M⁺, 69), 235 (94), 91 (100). FABHRMS *m/z* calcd for C₁₇H₁₄NO₃ (M–H⁺): 280.0974, found: 280.097.

4.11. Methyl (1-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetate 6a²¹

Prepared from **4a** (0.92 g, 21%) and from **5a** (2.46 g, 85%) as a white solid, mp: 70–72 °C (Et₂O/hexane). ¹H NMR (400 MHz, CDCl₃): δ 7.30 (1H, br t, *J* = 7.4 Hz, H6), 7.28 (1H, br d, *J* = 6.6 Hz, H4), 7.05 (1H, br t, *J* = 7.3 Hz, H5), 6.87 (1H, br d, *J* = 8.0 Hz, H7), 3.77 (1H, dd, *J* = 8.1, 4.4 Hz, H3), 3.70 (3H, s, H10), 3.23 (3H, s, H11), 3.09 (1H, dd, *J* = 16.9, 4.4 Hz, H8A), 2.78 (1H, dd, *J* = 16.8, 8.0 Hz, H8B); ¹³C NMR (100 MHz, CDCl₃): δ 175.8 (C2), 170.9 (C9), 143.7 (C7a), 127.7 (C6), 127.6 (C3a), 123.1 (C4), 121.8 (C5), 107.5 (C7), 51.3 (C10), 41.1 (C3), 34.0 (C8), 25.6 (C11); IR (CHCl₃) ν_{max} 3056, 2953, 1737, 1712, 1614 cm⁻¹; EIMS *m/z* (relative intensity) 219 (M⁺, 59), 159 (100). Anal. Calcd for C₁₂H₁₃NO₃: C, 65.75; H, 5.94; N, 6.39. Found: C, 65.72; H, 6.01; N, 6.32.

4.12. Methyl (1-ethyl-2-oxo-2,3-dihydro-1H-indol-3-yl)acetate 6b

Prepared from **4b** (1.03 g, 22%) and from **5b** (2.56 g, 83%) as a yellow oil. ¹H NMR (400 MHz, CDCl₃): δ 7.28 (1H, t, *J* = 7.7 Hz, H6), 7.25 (1H, d, *J* = 7.7 Hz, H4), 7.02 (1H, t, *J* = 7.3 Hz, H5), 6.87 (1H, d, *J* = 7.7 Hz, H7), 3.85–3.71 (3H, m, H3, H11), 3.67 (3H, s, H10), 3.08 (1H, dd, *J* = 16.8, 4.4 Hz, H8A), 2.80 (1H, dd, *J* = 16.9, 8.1 Hz, H8B), 1.27 (3H, t, *J* = 7.3 Hz, H12); ¹³C NMR (100 MHz, CDCl₃): δ 176.3 (C2), 171.5 (C9), 143.4 (C7a), 128.4 (C3a), 128.3 (C6), 124.0 (C4), 122.3 (C5), 108.3 (C7), 52.0 (C10), 41.8 (C3), 34.8 (C11), 34.7 (C8), 12.6 (C12); IR (CHCl₃) ν_{max} 3056, 2977, 2953, 2935, 1739, 1715, 1614, 1468 cm⁻¹; EIMS *m/z* (relative intensity) 233 (M⁺, 64), 174 (54), 173 (100), 158 (56). FABHRMS *m/z* calcd for C₁₃H₁₅NO₃; 233.1052, found: 233.1050.

4.13. Methyl 2-(1-benzyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)-acetate 6c

Prepared from **4c** (1.48 g, 25%) and from **5c** (3.35 g, 86%) as a white solid, mp: 107–108 °C (Et₂O/hexane). ¹H NMR (400 MHz, CDCl₃): δ 7.36–7.22 (5H, m, H13–H17), 7.24 (1H, br d, *J* = 7.0 Hz, H4), 7.15 (1H, br t, *J* = 7.7 Hz, H6), 6.98 (1H, br t, *J* = 7.3 Hz, H5), 6.72 (1H, br d, *J* = 7.7 Hz, H7), 4.93, 4.91 (2H, AB system, *J* = 15.8 Hz, H11), 3.87 (1H, dd, *J* = 8.0, 4.7 Hz, H3), 3.65 (3H, s, H10), 3.13 (1H, dd, *J* = 16.8, 4.7 Hz, H8A), 2.88 (1H, dd, *J* = 16.8, 8.0 Hz, H8B); ¹³C NMR (100 MHz, CDCl₃): δ 176.8 (C2), 171.5 (C9), 143.6 (C7a), 135.9 (C12), 128.8 (C14,C16), 128.3 (C6), 128.2 (C3a), 127.7 (C15), 127.4 (C13,C17), 123.9 (C4), 122.6 (C5), 109.2 (C7), 52.0 (C10), 44.0 (C11), 42.0 (C3), 34.8 (C8); IR (CHCl₃) ν_{max} 3032, 2951, 1737, 1712, 1614, 1489, 1467, 1211 cm⁻¹; EIMS *m*/*z* (relative intensity) 295 (M⁺, 100), 235 (74), 158 (12), 91 (79). Anal. Calcd for C₁₈H₁₇NO₃: C, 73.20; H, 5.80; N, 4.74. Found: C, 73.11; H, 5.70; N, 4.76.

4.14. General procedure for the preparation of *N*1,C3 dialkylated oxindoles 7a–e

To a solution of the appropriate oxindoles **6a–c** or **8** (4.57 mmol) in acetone (20 mL) was added K_2CO_3 (4.70 g, 34 mmol) and the mixture was stirred at room temperature for 30 min. The mixture was treated with the appropriate alkyl halide Mel (4.6 mL, 73.2 mmol for **6b,c** and **8**), EtBr (5.5 mL, 73.2 mmol for **6a**), or BnBr (8.7 mL, 73.2 mmol for **6a**) and heated at reflux for 8 h.

After cooling to room temperature, additional MeI was added (4.6 mL) for **6b,c** and **8**, and additional EtBr was added (5.5 mL) for **6a.** The mixtures were heated at reflux for further 8 h. After cooling to room temperature, the acetone was evaporated under reduced pressure and the mixture was diluted with water (40 mL) and EtOAc (25 mL). The aqueous phase was extracted with EtOAc (2×25 mL) and the combined organic layers were washed with brine (2×25 mL), dried over Na₂SO₄, filtrated, and evaporated to dryness in vacuum. Crudes **7a**–**d** were purified by flash column chromatography on silica gel with EtOAc/hexane 1:3 for **7a**, 1:4 for **7b,c**, and 1:5 for **7d,e**.

4.15. Methyl 2-(1,3-dimethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetate 7a

Prepared from **8** as a yellow oil (0.92 g, 86%).⁷

4.16. Methyl 2-(1-methyl-3-ethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)-acetate 7b

Prepared from **6a** as a yellow oil (1.01 g, 89%). ¹H NMR (400 MHz, CDCl₃): δ 7.27 (1H, br t, J = 7.7 Hz, H6), 7.16 (1H, br d, J = 7.3 Hz, H4), 7.04 (1H, br t, J = 7.4 Hz, H5), 6.86 (1H, br d, J = 7.3 Hz, H7), 3.41 (3H, s, H10), 3.25 (3H, s, H11), 3.00, 2.87 (2H, AB system, J = 16.2 Hz, H8), 1.88 (1H, dq, J = 13.2, 7.3 Hz, H12A), 1.80 (1H, dq, J = 13.2, 7.3 Hz, H12B), 0.58 (3H, t, J = 7.3 Hz, H13); ¹³C NMR (100 MHz, CDCl₃): δ 179.1 (C2), 170.2 (C9), 144.5 (C7a), 130.8 (C3a), 128.1 (C6), 122.4 (C4), 122.2 (C5), 107.8 (C7), 51.4 (C10), 50.1 (C3), 40.8 (C8), 31.0 (C12), 26.1 (C11), 8.0 (C13); IR (CHCl₃) v_{max} 3055, 2966, 2936, 1740, 1715, 1614, 1494 cm⁻¹; EIMS *m/z* (relative intensity) 247 (M⁺, 100), 232 (20), 219 (20), 176 (46), 159 (54), 130 (21). FABHRMS *m/z* calcd for C₁₄H₁₇NO₃: 247.1208, found: 247.1209.

4.17. Methyl 2-(1-methyl-3-benzyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetate 7c

Prepared from **6a** as colorless crystals (1.21 g, 86%), mp: 105– 107 °C (EtOAc/hexane). ¹H NMR (400 MHz, CDCl₃): δ 7.18 (1H, td, *J* = 7.7, 1.5 Hz, H6), 7.11–7.02 (4H, m, H4, H15–H17), 6.99 (1H, td, *J* = 7.3, 0.7 Hz, H5), 6.80 (2H, dd, *J* = 8.0, 1.4 Hz, H14, H18), 6.59 (1H, d, *J* = 7.7 Hz, H7), 3.43 (3H, s, H10), 3.16, 2.96 (2H, AB system, *J* = 16.7 Hz, H8), 3.03 (2H, s, H12), 2.99 (3H, s, H11); ¹³C NMR (100 MHz, CDCl₃): δ 178.5 (C2), 170.2 (C9), 144.2 (C7a), 134.9 (C13), 130.3 (C3a), 130.1 (C14,C18), 128.3 (C6), 127.6 (C15,C17), 126.8 (C16), 123.3 (C4), 122.0 (C5), 107.9 (C7), 51.7 (C10), 51.3 (C3), 44.0 (C12), 40.3 (C8), 26.1 (C11); IR (CHCl₃) ν_{max} 3057, 3030, 2952, 1740, 1713, 1614 cm⁻¹; EIMS *m/z* (relative intensity) 309 (M⁺, 69), 233 (32), 218 (34), 176 (100), 91 (32). Anal. Calcd for C₁₉H₁₉NO₃: C, 73.77; H, 6.19; N, 4.53. Found: C, 73.69; H, 6.26; N, 4.56.

4.18. Methyl 2-(1-ethyl-3-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetate 7d

Prepared from **6b** as a yellow oil (1.03 g, 91%). ¹H NMR (300 MHz, CDCl₃): δ 7.26 (1H, td, *J* = 7.7, 1.4 Hz, H6), 7.20 (1H, dd, *J* = 7.4, 0.6 Hz, H4), 7.02 (1H, td, *J* = 7.4, 1.1 Hz, H5), 6.88 (1H, br d, *J* = 7.7 Hz, H7), 3.86 (1H, dq, *J* = 14.1, 7.1 Hz, H11A), 3.74 (1H, dq, *J* = 14.1, 7.1 Hz, H11B), 3.44 (3H, s, H10), 3.02, 2.85 (2H, AB system, *J* = 16.2 Hz, H8), 1.37 (3H, s, H13), 1.29 (3H, t, *J* = 7.3 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 179.4 (C2), 170.1 (C9), 142.5 (C7a), 133.0 (C3a), 128.0 (C6), 122.3 (C4), 122.1 (C5), 108.2 (C7), 51.4 (C10), 45.3 (C3), 41.3 (C8), 34.6 (C11), 24.3 (C13), 12.3 (C12); IR (CHCl₃) v_{max} 3055, 2976, 2952, 1741, 1713, 1613 cm⁻¹; EIMS *m*/z (relative intensity) 247 (M⁺, 97), 232 (20), 204 (28), 188 (19), 174

(100), 146 (19), 130 (17). FABHRMS *m*/*z* calcd for C₁₄H₁₇NO₃: 247.1208, found: 247.1213.

4.19. Methyl 2-(1-benzyl-3-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetate 7e

Prepared from **6c** as a yellow oil (1.24 g, 88%). ¹H NMR (300 MHz, CDCl₃): δ 7.36 7.40–7.22 (5H, m, H13–H17), 7.20 (1H, br dd, *J* = 7.4, 1.1 Hz, H4), 7.15 (1H, td, *J* = 7.8, 1.3 Hz, H6), 7.00 (1H, td, *J* = 7.5, 1.0 Hz, H5), 6.74 (1H, br d, *J* = 7.7 Hz, H7), 4.97, 4.94 (2H, AB system, *J* = 15.8 Hz, H11), 3.42 (3H, s, H10), 3.10, 2.91 (2H, AB system, *J* = 16.3 Hz, H8), 1.44 (3H, s, H18); ¹³C NMR (75 MHz, CDCl₃): δ 179.9 (C2), 170.2 (C9), 142.5 (C7a), 136.0 (C12), 132.8 (C3a), 128.6 (C14,C16), 128.0 (C6), 127.4 (C15), 127.3 (C13,C17), 122.4 (C5), 122.2 (C4), 109.1 (C7), 51.5 (C10), 45.5 (C3), 43.9 (C11), 41.2 (C8), 24.8 (C18); IR (CHCl₃) v_{max} 3059, 3032, 2952, 2927, 1740, 1714, 1613 cm⁻¹; EIMS *m/z* (relative intensity) 309 (M⁺, 96), 250 (15), 236 (22), 208 (30), 207 (100), 130 (25), 91 (66). FABHRMS *m/z* calcd for C₁₉H₁₉NO₃: 309.1365, found: 309.1366.

4.20. General procedure for the preparation of 2-oxindoleacetic acids 9a–e

To a solution of the appropriate oxindoles **7a–e** (3.24 mmol) in MeOH (12 mL) was added a solution of aqueous NaOH (15%, 3.4 mL) and the mixture was stirred at 50 °C for 1.5 h. After cooling to 0 °C, the mixture was treated with an aqueous solution of HCl (1 M) to pH 1 and extracted with EtOAc (3 × 30 mL). The combined organic layers were washed with brine (3 × 20 mL), dried over Na₂SO₄, filtrated, and evaporated to dryness in vacuum. The resultant crude products **9a–e** were purified by flash chromatography on silica gel using EtOAc/hexane 2:1 for **9a,b,d** and 3:2 for **9c,e**.

4.21. 2-(1,3-Dimethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetic acid 9a

Prepared from **7a** as colorless crystals (0.62 g, 88%), mp: 181–182 °C (EtOAc/hexane). Lit.^{2b} 179–180.5 °C.

4.22. 2-(1-Methyl-3-ethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetic acid 9b

Prepared from **7b** as a brown solid (0.64 g, 85%), mp: 109– 110 °C (EtOAc/hexane). ¹H NMR (400 MHz, CDCl₃): δ 9.64 (1H, br s, H10), 7.26 (1H, td, *J* = 7.7, 1.4 Hz, H6), 7.13 (1H, br d, *J* = 7.3 Hz, H4), 7.04 (1H, t, *J* = 7.5, H5), 6.82 (1H, br d, *J* = 7.7 Hz, H7), 3.17 (3H, s, H11), 2.94, 2.78 (2H, AB system, *J* = 16.3 Hz, H8), 1.84 (1H, dq, *J* = 13.7, 7.3 Hz, H12A), 1.76 (1H, dq, *J* = 13.7, 7.3 Hz, H12B), 0.54 (3H, t, *J* = 7.5 Hz, H13); ¹³C NMR (100 MHz, CDCl₃): δ 179.8 (C2), 174.3 (C9), 144.3 (C7a), 130.9 (C3a), 128.4 (C6), 122.8 (C4), 122.7 (C5), 108.3 (C7), 50.3 (C3), 40.9 (C8), 31.0 (C12), 26.4 (C11), 8.1 (C13); IR (KBr) ν_{max} 3432, 2985, 2931, 1737, 1722, 1674, 1610 cm⁻¹; EIMS *m/z* (relative intensity) 233 (M⁺, 100), 188 (36), 174 (22), 160 (80), 159 (40), 146 (43), 130 (26). Anal. Calcd for C₁₃H₁₅NO₃: C, 66.94; H, 6.48; N, 6.00. Found: C, 66.65; H, 6.51; N, 5.86.

4.23. 2-(1-Methyl-3-benzyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetic acid 9c

Prepared from **7c** as colorless crystals (0.85 g, 89%), mp: 90– 91 °C (Et₂O/hexane). ¹H NMR (300 MHz, CDCl₃): δ 9.52 (1H, br s, H10), 7.16 (1H, td, *J* = 7.8, 0.9 Hz, H6), 7.10 (1H, br d, *J* = 7.3 Hz, H4), 7.07–6.98 (4H, m, H5, H15–H17), 6.73 (2H, br dd, *J* = 8.0, 1.8 Hz, H14, H18), 6.54 (1H, br d, *J* = 7.8 Hz, H7), 3.11, 2.89 (2H, AB system, J = 16.5 Hz, H8), 2.99 (2H, br s, H12), 2.89 (3H, s, H11); ¹³C NMR (75 MHz, CDCl₃): δ 178.8 (C2), 174.0 (C9), 143.7 (C7a), 134.5 (C13), 129.8 (C3a,C14,C18), 128.2 (C6), 127.4 (C15,C17), 126.7 (C16), 123.1 (C4), 122.2 (C5), 108.0 (C7), 51.0 (C3), 43.7 (C12), 40.0 (C8), 26.0 (C11); IR (KBr) v_{max} 3436, 3033, 2919, 1733, 1665 cm⁻¹. EIMS *m/z* (relative intensity) 295 (M⁺, 26), 277 (25), 262 (18), 237 (20), 221 (18), 105 (17), 91 (100), 44 (50). Anal. Calcd for C₁₈H₁₇NO₃: C, 73.20; H, 5.80; N, 4.74. Found: C, 72.85; H, 5.82; N, 4.61.

4.24. 2-(1-Ethyl-3-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetic acid 9d

Prepared from **7d** as a brown solid (0.66 g, 87%), mp: 250 °C decomp. (EtOAc/hexane). ¹H NMR (300 MHz, CDCl₃): δ 10.53 (1H, br s, H10), 7.25 (1H, td, *J* = 7.7, 1.4 Hz, H6), 7.16 (1H, dd, *J* = 7.4, 0.8 Hz, H4), 7.02 (1H, td, *J* = 7.7, 1.1 Hz, H5), 6.84 (1H, br d, *J* = 7.7 Hz, H7), 3.83 (1H, dq, *J* = 14.3, 7.2 Hz, H11A), 3.63 (1H, dq, *J* = 14.3, 7.2 Hz, H11B), 2.97, 2.78 (2H, AB system, *J* = 16.7 Hz, H8), 1.31 (3H, s, H13), 1.18 (3H, t, *J* = 7.4 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 179.7 (C2), 174.7 (C9), 142.2 (C7a), 132.9 (C3a), 128.1 (C6), 122.4 (C5), 122.3 (C4), 108.4 (C7), 45.1 (C3), 41.2 (C8), 34.6 (C11), 24.0 (C13), 11.9 (C12); IR (CHCl₃) v_{max} 3056, 2978, 2935, 2876, 1712, 1612 cm⁻¹; EIMS *m/z* (relative intensity) 233 (M⁺, 100), 188 (53), 174 (48), 146 (25), 130 (16). Anal. Calcd for C₁₃H₁₅NO₃: C, 66.94; H, 6.48; N, 6.00. Found: C, 66.73; H, 6.56; N, 5.72.

4.25. 2-(1-Benzyl-3-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetic acid 9e

Prepared from **7e** as colorless crystals (0.84 g, 88%), mp: 154– 155 °C (EtOAc/hexane). ¹H NMR (300 MHz, CDCl₃): δ 9.59 (1H, br s, H10), 7.30- 7.22 (5H, m, H13–H17), 7.17 (1H, dd, *J* = 7.3, 0.7 Hz, H4), 7.12 (1H, td, *J* = 7.7, 1.2 Hz, H6), 6.99 (1H, td, *J* = 7.5, 1.0 Hz, H5), 6.67 (1H, br d, *J* = 7.5 Hz, H7), 4.98, 4.83 (2H, AB system, *J* = 15.8 Hz, H11), 3.08, 2.88 (2H, AB system, *J* = 16.8 Hz, H8), 1.40 (3H, s, H18); ¹³C NMR (75 MHz, CDCl₃): δ 180.0 (C2), 175.0 (C9), 142.3 (C7a), 135.7 (C12), 132.6 (C3a), 128.7 (C14,C16), 128.1 (C6), 127.5 (C15), 127.1 (C13,C17), 122.6 (C5), 122.2 (C4), 109.5 (C7), 45.3 (C3), 43.9 (C11), 40.9 (C8), 24.9 (C18); IR (KBr) ν_{max} 3420, 3208, 3058, 2971, 2925, 2909, 1742, 1677, 1614, 1494, 1469 cm⁻¹; EIMS *m/z* (relative intensity) 295 (M⁺, 100), 236 (17), 222 (20), 207 (25), 130 (15), 91 (49). Anal. Calcd for C₁₈H₁₇NO₃: C, 73.20; H, 5.80; N, 4.74. Found: C, 72.88; H, 5.63; N, 4.64.

4.26. General procedure for the preparation of diastereomeric amides 11a-e

A cooled solution (0 °C) of the appropriate acids **9a–e** (2.36 mmol) in THF (20 mL) was treated dropwise with Et₃N (0.32 mL, 2.3 mmol). The mixture was stirred for 15 min and ClCO₂Et (0.32 mL, 3.3 mmol) was added dropwise and stirred for additional 1 h. After cooling to -60 °C, (*R*)- or (*S*)-FEA (0.64 mL, 5.0 mmol) was added dropwise and the reaction mixture was stirred for 1 h. After warming to room temperature, EtOAc was added (20 mL) and the organic layer was washed with brine (2 × 20 mL), dried over anhydrous Na₂SO₄, filtered, and evaporated to dryness in vacuum. The crude products **11a–e** were purified by flash column chromatography on silica gel with EtOAc/hexane 2:3 for **11a**, **11b**, and **11d**, and with EtOAc/hexane 1:2 for **11c** and **11e**.

4.27. (3*R*,1′*R*)-*N*-(1′-Phenylethyl)-2-(1,3-dimethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl) acetamide (3*R*,11*R*-11a)

Prepared from **9a** as colorless crystals (0.32 g, 42%), mp: 157–159 °C (EtOAc/hexane). $[\alpha]_D^{20} = +87.3$ (*c* 1, EtOH). ¹H NMR

(400 MHz, CDCl₃): δ 7.29–7.19 (5H, m, H4, H6, H15–H17), 7.15 (2H, br d, *J* = 7.7 Hz, H14, H18), 7.05 (1H, td, *J* = 7.5, 1.0 Hz, H5), 6.79 (1H, br d, *J* = 7.7 Hz, H7), 6.64 (1H, br d, *J* = 8.0 Hz, H10), 4.96 (1H, q, *J* = 7.3 Hz, H11), 3.06 (3H, s, H19), 2.77, 2.64 (2H, AB system, *J* = 14.3 Hz, H8), 1.42 (3H, s, H20), 1.33 (3H, d, *J* = 7.0 Hz, H12); ¹³C NMR (100 MHz, CDCl₃): δ 180.3 (C2), 167.8 (C9), 143.0 (C13), 142.6 (C7a), 132.9 (C3a), 128.4 (C15,C17), 128.0 (C6), 127.0 (C16), 126.1 (C14,C18), 122.8 (C4), 122.7 (C5), 108.2 (C7), 48.2 (C11), 46.3 (C3), 43.8 (C8), 26.1 (C19), 23.7 (C20), 21.4 (C12); IR (CHCl₃) ν_{max} 3300, 3059, 2970, 2927, 1701, 1652, 1614, 1542, 1471 cm⁻¹. EIMS *m/z* (relative intensity) 322 (M⁺, 17), 174 (31), 160 (21), 130 (10), 120 (100). Anal. Calcd for C₂₀H₂₂N₂O₂: C, 74.51; H, 6.88; N, 8.69. Found: C, 74.23; H, 6.93; N, 8.49.

4.28. (3*S*,1′*R*)-*N*-(1′-Phenylethyl)-2-(1,3-dimethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl) acetamide (3*S*,11*R*-11a)

Prepared from **9a** as colorless crystals (0.32 g, 42%), mp: 126– 127 °C (EtOH). $[\alpha]_D^{20} = +160.2$ (*c* 1, EtOH). ¹H NMR (400 MHz, CDCl₃): δ 7.35–7.18 (5H, m, H4, H6, H15–H17), 7.14 (2H, d, *J* = 7.3 Hz, H14, H18), 7.07 (1H, td, *J* = 7.5, 0.8 Hz, H5), 6.84 (1H, d, *J* = 8.0 Hz, H7), 6.67 (1H, br d, *J* = 6.2 Hz, H10), 4.93 (1H, q, *J* = 7.0 Hz, H11), 3.22 (3H, s, H19), 2.80, 2.66 (2H, AB system, *J* = 14.6 Hz, H8), 1.36 (3H, s, H20), 1.33 (3H, d, *J* = 7.0 Hz, H12); ¹³C NMR (100 MHz, CDCl₃): δ 180.5 (C2), 167.9 (C9), 142.9 (C13), 142.7 (C7a), 133.0 (C3a), 128.4 (C15,C17), 128.1 (C6), 127.0 (C16), 126.0 (C14,C18), 122.7 (C5), 122.6 (C4), 108.2 (C7), 48.4 (C11), 46.3 (C3), 43.9 (C8), 26.3 (C19), 23.6 (C20), 21.6 (C12); IR (CHCl₃) ν_{max} 3301, 3059, 2970, 2928, 1704, 1651, 1614, 1471 cm⁻¹; EIMS *m/z* (relative intensity) 322 (M⁺, 17), 174 (10), 130 (11), 120 (100), 105 (11). FABHRMS *m/z* calcd for C₂₀H₂₃N₂O₂ (M+H⁺): 323.1760, found: 323.1760.

4.29. (35,1'S)-*N*-(1'-Phenylethyl)-2-(1,3-dimethyl-2-oxo-2,3dihydro-1*H*-indol-3-yl) acetamide (35,11S-11a)

Prepared from **9a** as colorless crystals (0.31 g, 41%), mp: 157– 158 °C (EtOH). $[\alpha]_D^{20} = -87.9$ (*c* 1, EtOH). ¹H NMR (300 MHz, CDCl₃): δ 7.29–7.19 (5H, m, H4, H6, H15–H17), 7.15 (2H, br d, *J* = 7.2 Hz, H14, H18), 7.05 (1H, td, *J* = 7.5, 0.7 Hz, H5), 6.79 (1H, br d, *J* = 7.5 Hz, H7), 6.68 (1H, br d, *J* = 7.6 Hz, H10), 4.94 (1H, br q, *J* = 7.2 Hz, H11), 3.06 (3H, s, H19), 2.77, 2.64 (2H, AB system, *J* = 14.3 Hz, H8), 1.42 (3H, s, H20), 1.32 (3H, d, *J* = 6.9 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 180.3 (C2), 167.8 (C9), 143.0 (C13), 142.6 (C7a), 132.9 (C3a), 128.4 (C15,C17), 128.0 (C6), 127.0 (C16), 126.0 (C14,C18), 122.8 (C4), 122.6 (C5), 108.2 (C7), 48.2 (C11), 46.3 (C3), 43.8 (C8), 26.1 (C19), 23.7 (C20), 21.4 (C12). IR (CHCl₃) v_{max} 3301, 3059, 3029, 2970, 2927, 2870, 1702, 1651, 1614 cm⁻¹; EIMS *m/z* (relative intensity) 322 (M⁺, 16), 174 (28), 160 (21), 130 (9), 120 (100). Anal. Calcd for C₂₀H₂₂N₂O₂: C, 74.51; H, 6.88; N, 8.69. Found: C, 74.10; H, 6.89; N, 8.59.

4.30. (3*R*,1'*S*)-*N*-(1'-Phenylethyl)-2-(1,3-dimethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl) acetamide (3*R*,11*S*-11a)

Prepared from **9a** as colorless crystals (0.32 g, 42%), mp: 126– 127 °C (EtOAc/hexane). $[\alpha]_D^{20} = -159.5$ (*c* 1, EtOH). ¹H NMR (300 MHz, CDCl₃): δ 7.32–7.20 (5H, m, H4, H6, H15–H17), 7.15 (2H, d, *J* = 7.5 Hz, H14, H18), 7.07 (1H, td, *J* = 7.5, 0.9 Hz, H5), 6.84 (1H, br d, *J* = 7.6 Hz, H7), 6.64 (1H, br d, *J* = 7.8 Hz, H10), 4.94 (1H, q, *J* = 7.2 Hz, H11), 3.22 (3H, s, H19), 2.80, 2.66 (2H, AB system, *J* = 14.6 Hz, H8), 1.36 (3H, s, H20), 1.33 (3H, d, *J* = 6.9 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 180.5 (C2), 167.9 (C9), 142.9 (C13), 142.8 (C7a), 133.1 (C3a), 128.4 (C15,C17), 128.1 (C6), 127.0 (C16), 126.0 (C14,C18), 122.8 (C5), 122.7 (C4), 108.2 (C7), 48.4 (C11), 46.3 (C3), 44.0 (C8), 26.3 (C19), 23.6 (C20), 21.6 (C12); IR $(CHCl_3) v_{max} 3300, 3059, 2969, 2925, 1702, 1652, 1614 cm⁻¹; EIMS$ *m/z*(relative intensity) 322 (M⁺, 17), 174 (25), 160 (18), 120 (100). FABHRMS*m/z*calcd for C₂₀H₂₃N₂O₂ (M+H⁺): 323.1760, found: 323.1753.

4.31. (*3R*,1*'R*)-*N*-(1'-Phenylethyl)-2-(1-methyl-3-ethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetamide (*3R*,11*R*-11b)

Prepared from **9b** as colorless crystals (Et₂O/hexane) (0.34 g, 43%), mp: 131–132 °C (Et₂O/hexane). $[\alpha]_{D}^{20} = +73.0$ (*c* 1, EtOH). ¹H NMR (300 MHz, CDCl₃): δ 7.34–7.18 (5H, m, H4, H6, H15– H17), 7.12 (2H, br dd, J = 8.3, 1.6 Hz, H14, H18), 7.06 (1H, td, J = 7.5, 1.0 Hz, H5), 6.78 (1H, br d, J = 7.9 Hz, H7), 6.50 (1H, br d, J = 7.0 Hz, H10), 4.92 (1H, br q, J = 7.3 Hz, H11), 3.03 (3H, s, H19), 2.79, 2.64 (2H, AB system, J = 14.2 Hz, H8), 1.92 (1H, dq, J = 13.6, 7.3 Hz, H20A), 1.85 (1H, dq, J = 13.6, 7.3 Hz, H20B), 1.30 (3H, br d, J = 7.0 Hz, H12), 0.57 (3H, t, J = 7.4 Hz, H21); ¹³C NMR (75 MHz, CDCl₃): δ 179.7 (C2), 167.8 (C9), 143.6 (C7a), 143.0 (C13), 130.7 (C3a), 128.4 (C15,C17), 128.0 (C6), 127.0 (C16), 126.1 (C14,C18), 123.1 (C4), 122.6 (C5), 108.0 (C7), 51.3 (C3), 48.1 (C11), 43.3 (C8), 30.7 (C20), 25.9 (C19), 21.3 (C12), 8.1 (C21); IR (CHCl₃) v_{max} 3303, 3059, 3030, 2969, 2932, 2878, 1699, 1648 cm⁻¹; EIMS m/z (relative intensity) 336 (M⁺, 20), 321 (8), 200 (21), 188 (28), 146 (21), 120 (100), 105 (16). FABHRMS m/z calcd for C₂₁H₂₅N₂O₂ (M+H⁺): 337.1916, found: 337.1909.

4.32. (3*S*,1′*R*)-*N*-(1′-Phenylethyl)-2-(1-methyl-3-ethyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetamide [(3*S*,11*R*-11b)]

Prepared from **9b** as colorless crystals (0.33 g, 42%), mp: 111– 112 °C (Et₂O/hexane). $[\alpha]_D^{20} = +143.1$ (*c* 1, EtOH). ¹H NMR (300 MHz, CDCl₃): δ 7.34–7.17 (5H, m, H4, H6, H15–H17), 7.12 (2H, br dd, *J* = 8.0, 1.8 Hz, H14, H18), 7.07 (1H, td, *J* = 7.5, 1.0 Hz, H5), 6.83 (1H, br d, *J* = 7.8 Hz, H7), 6.47 (1H, br d, *J* = 7.8 Hz, H10), 4.91 (1H, q, *J* = 7.0 Hz, H11), 3.22 (3H, s, H19), 2.82, 2.65 (2H, AB system, *J* = 14.4 Hz, H8), 1.89 (1H, dq, *J* = 13.5, 7.3 Hz, H20A), 1.79 (1H, dq, *J* = 13.5, 7.3 Hz, H20B), 1.30 (3H, d, *J* = 6.9 Hz, H12), 0.56 (3H, t, *J* = 7.3 Hz, H21); ¹³C NMR (75 MHz, CDCl₃): δ 179.8 (C2), 167.8 (C9), 143.7 (C7a), 142.9 (C13), 130.9 (C3a), 128.4 (C15,C17), 128.1 (C6), 127.0 (C16), 126.0 (C14,C18), 123.0 (C4), 122.6 (C5), 108.0 (C7), 51.2 (C3), 48.3 (C11), 43.5 (C8), 30.6 (C20), 26.1 (C19), 21.5 (C12), 8.1 (C21); IR (CHCl₃) v_{max} 3307, 3058, 3029, 2968, 2932, 1702, 1651 cm⁻¹; EIMS *m/z* (relative intensity) 336 (M⁺, 24), 321 (10) 200 (24), 188 (33), 146 (21), 120 (100). FABHRMS *m/z* calcd for C₂₁H₂₅N₂O₂ (M+H⁺): 337.1916, found: 337.1912.

4.33. (3*R*,1′*R*)-*N*-(1′-Phenylethyl)-2-(1-methyl-3-benzyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetamide (3*R*,11*R*-11c)

Prepared from 9c as a white solid (0.39 g, 41%), mp: 137-138 °C (EtOAc/hexane). $[\alpha]_D^{20} = +29.1$ (c 1, EtOH). ¹H NMR (300 MHz, CDCl₃): δ 7.28–7.14 (5H, m, H4, H6, H16, H23, H25), 7.11 (2H, br dd, J = 7.8, 1.8 Hz, H14, H18), 7.08–6.99 (5H, m, H5, H15, H17, H21, H24), 6.77 (2H, br dd, J = 7.8, 1.7 Hz, H22, H26), 6.51 (1H, br d, J = 7.8 Hz, H7), 6.41 (1H, br d, J = 7.6 Hz, H10), 4.96 (1H, q, J = 7.0 Hz, H11), 3.13, 3.09 (2H, AB system, J = 12.9 Hz, H20), 2.96, 2.76 (2H, AB system, J = 14.3 Hz, H8), 2.76 (3H, s, H19), 1.35 (3H, d, J = 6.9 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 179.0 (C2), 167.7 (C9), 143.2 (C7a), 143.0 (C13), 134.9 (C21), 130.0 (C3a), 129.9 (C22, C26), 128.5 (C15,C17), 128.2 (C6), 127.4 (C23,C25), 127.1 (C16), 126.7 (C24), 126.1 (C14,C18), 123.9 (C4), 122.4 (C5), 108.0 (C7), 52.4 (C3), 48.3 (C11), 43.8 (C20), 42.8 (C8), 25.7 (C19), 21.4 (C12); IR (CHCl₃) v_{max} 3301, 3060, 3030, 2972, 2927, 1698, 1649 cm⁻¹; EIMS *m*/*z* (relative intensity) 398 (M⁺, 14), 321 (13), 276 (29), 236 (100), 160 (55), 105 (32). FABHRMS m/z calcd for C₂₆H₂₇N₂O₂ (M+H⁺): 399.2073, found: 399.2066.

4.34. (3*S*,1′*R*)-*N*-(1′-Phenylethyl)-2-(1-methyl-3-benzyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetamide (3*S*,11*R*-11c)

Prepared from 9c as colorless crystals (0.40 g, 42%), mp: 182-183 °C (CH₂Cl₂/hexane). $[\alpha]_{D}^{20} = +177.7$ (*c* 1, EtOH). ¹H NMR (300 MHz, CDCl₃): δ 7.30–6.98 (11H, m, H4–H6, H14–H18, H23– H25), 6.74 (2H, br dd, J = 7.7, 1.3 Hz, H22, H26), 6.64 (1H, br d, *J* = 8.1 Hz, H10), 6.56 (1H, d, *J* = 7.8 Hz, H7), 4.94 (1H, q, *J* = 7.0 Hz, H11), 3.06, 3.03 (2H, AB system, J = 12.9 Hz, H20), 2.95 (3H, s, H19), 2.95, 2.76 (2H, AB system, J = 14.5 Hz, H8), 1.32 (3H, d, J = 6.9 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 179.0 (C2), 167.7 (C9), 143.3 (C7a), 142.9 (C13), 134.8 (C21), 130.1 (C3a), 129.8 (C22, C26), 128.4 (C15,C17), 128.2 (C6), 127.4 (C23,C25), 127.0 (C16), 126.6 (C24), 126.0 (C14,C18), 123.7 (C4), 122.2 (C5), 107.9 (C7), 52.1 (C3), 48.3 (C11), 43.4 (C20), 42.7 (C8), 25.9 (C19), 21.5 (C12); IR (CHCl₃) $v_{max} \ cm^{-1}$ 3288, 3061, 3030, 2959, 2925, 2854, 1702, 1648; EIMS *m/z* (relative intensity) 398 (M⁺, 17), 277 (14), 236 (100), 160 (67), 120 (34), 105 (37). FABHRMS m/z calcd for C₂₆H₂₇N₂O₂ (M+H⁺): 399.2073, found: 399.2072.

4.35. (3*R*,1′*R*)-*N*-(1′-Phenylethyl)-2-(1-ethyl-3-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetamide (3*R*,11*R*-11d)

Prepared from 9d as colorless crystals (0.33 g, 41%), mp: 126-127 °C (Et₂O/hexane). $[\alpha]_D^{20} = +74.8 (c 1, EtOH).$ ¹H NMR (300 MHz, CDCl₃): δ 7.31–7.19 (5H, m, H4, H6, H15–H17), 7.17 (2H, br dd, *J* = 7.2, 2.2 Hz, H14, H18), 7.04 (1H, td, *J* = 7.6, 1.0 Hz, H5), 6.83 (1H, dd, *J* = 8.5, 1.2 Hz, H7), 6.68 (1H, br d, *J* = 7.3 Hz, H10), 4.98 (1H, q, *J* = 7.0 Hz, H11), 3.73 (1H, dq, *J* = 14.1, 7.2 Hz, H19A), 3.58 (1H, dq, *J* = 14.2, 7.2 Hz, H19B), 2.76, 2.63 (2H, AB system, *J* = 14.4 Hz, H8), 1.43 (3H, s, H21), 1.35 (3H, d, J=6.9 Hz, H12), 1.20 (3H, t, J = 7.2 Hz, H20); ¹³C NMR (75 MHz, CDCl₃): δ 180.0 (C2), 167.9 (C9), 143.1 (C13), 141.7 (C7a), 133.3 (C3a), 128.4 (C15,C17), 128.0 (C6), 127.0 (C16), 126.1 (C14,C18), 123.1 (C4), 122.6 (C5), 108.4 (C7), 48.4 (C11), 46.2 (C3), 43.8 (C8), 34.6 (C19), 23.6 (C21), 21.5 (C12), 12.5 (C20); IR (CHCl₃) v_{max} 3310, 3059, 3030, 2973, 2928, 1698, 1652 cm⁻¹; EIMS *m/z* (relative intensity) 336 (M⁺, 23), 188 (33), 174 (21), 128 (11), 120 (100). Anal. Calcd for C₂₁H₂₄N₂O₂: C, 74.97; H, 7.19; N, 8.33. Found: C, 74.90; H, 7.18; N, 8.20.

4.36. (3*S*,1′*R*)-*N*-(1′-Phenylethyl)-2-(1-ethyl-3-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetamide (3*S*,11*R*-11d)

Prepared from 9d as colorless crystals (0.33 g, 41%), mp: 152-153 °C (Et₂O/hexane). $[\alpha]_D^{20} = +145.8$ (*c* 1, EtOH). ¹H NMR (300 MHz, CDCl₃): δ 7.32–7.20 (5H, m, H4, H6, H15–H17), 7.17 (2H, br dd, *J* = 7.3, 1.8 Hz, H14, H18), 7.06 (1H, td, *J* = 7.4, 0.9 Hz, H5), 6.86 (1H, br d, J = 7.6 Hz, H7), 6.71 (1H, br d, J = 7.6 Hz, H10), 4.96 (1H, q, J = 7.0 Hz, H11), 3.82 (1H, dq, J = 14.1, 7.3 Hz, H19A), 3.73 (1H, dq, J = 14.1, 7.3 Hz, H19B), 2.78, 2.65 (2H, AB system, *J* = 14.6 Hz, H8), 1.36 (3H, s, H21), 1.35 (3H, d, *J* = 7.9 Hz, H12), 1.27 (3H, t, J = 7.2 Hz, H20); ¹³C NMR (75 MHz, CDCl₃): δ 180.1 (C2), 167.9 (C9), 143.0 (C13), 141.8 (C7a), 133.4 (C3a), 128.4 (C15,C17), 128.0 (C6), 127.0 (C16), 126.1 (C14,C18), 123.0 (C4), 122.5 (C5), 108.4 (C7), 48.5 (C11), 46.2 (C3), 43.9 (C8), 34.7 (C19), 23.6 (C21), 21.7 (C12), 12.6 (C20); IR (CHCl₃) v_{max} 3307, 3057, 3030, 2968, 2932, 2878, 1704, 1651 cm⁻¹; EIMS *m/z* (relative intensity) 336 (M⁺, 24), 321 (10), 200 (23), 188 (33), 146 (22), 120 (100), 105 (16). Anal. Calcd for C₂₁H₂₄N₂O₂: C, 74.97; H, 7.19; N, 8.33. Found: C, 74.74; H, 7.31; N, 8.27.

4.37. (3*R*,1′*R*)-*N*-(1′-Phenylethyl)-2-(1-benzyl-3-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl)acetamide (3*R*,11*R*-11e)

Prepared from **9e** as a yellow solid on standing (0.39 g, 41%), mp: 64–65 °C. $[\alpha]_{D}^{D} = +66.7$ (*c* 1, EtOH). ¹H NMR (300 MHz, CDCl₃):

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Table 5			
Crystal data for (3R,11R)-11a,	(3S,11R)-11a, (3S	5, 11 <i>R</i>)- 11b , an	d (3S, 11R)- 11c

	(3 <i>R</i> ,11 <i>R</i>)- 11a	(3 <i>S</i> ,11 <i>R</i>)- 11a	(3 <i>S</i> ,11 <i>R</i>)- 11b	(3 <i>S</i> ,11 <i>R</i>)- 11c
Empirical formula Formula weight	C ₂₀ H ₂₂ N ₂ O ₂ 322.40	C ₂₀ H ₂₂ N ₂ O ₂ H ₂ O 322.40 + 18.02	C ₂₁ H ₂₄ N ₂ O ₂ 336.42	C ₂₆ H ₂₆ N ₂ O ₂ 398.49
Crystal size (mm)	0.30 imes 0.26 imes 0.22	0.38 imes 0.28 imes 0.70	0.38 imes 0.34 imes 0.34	0.30 imes 0.12 imes 0.10
Wavelength (Å)	1.54184	0.71073	1.54184	0.71073
Crystal system, space group	Orthorhombic, P2 ₁ 2 ₁ 2 ₁	Orthorhombic, $P2_12_12_1$	Orthorhombic, P2 ₁ 2 ₁ 2 ₁	Tetragonal, P4 ₃ 2 ₁ 2
Unit cell dimensions				
a (Å)	8.4402(8)	12.7641(6)	12.421(3)	10.235(1)
b (Å)	10.323(3)	16.7665(9)	16.391(3)	10.235(1)
<i>c</i> (Å)	21.631(2)	17.6059(8)	18.089(3)	45.036(9)
Volume (Å ³⁾	1884.7(5)	3767.8(3)	3682.9(13)	4717.9(13)
Z, Z', Calculated density (mg/ mm ³)	4, 1, 1.136	4, 2, 1.168	4, 2, 1.213	8, 1, 1.122
Absorption coefficient (mm ⁻¹)	0.587	0.077	0.621	0.071
$F(0\ 0\ 0)$	688	1416	1440	1696
Theta range for data collection (°)	4.09-59.93	4.28-72.22	4.89-60.01	2.96-27.47
Limiting indices	$0 \leq h \leq 9, 0 \leq k \leq 11,$	$-15 \leq h \leq 15, -17 \leq k \leq 20,$	$0 \leq h \leq 13, 0 \leq k \leq 18,$	$-13 \leq h \leq 12, -13 \leq k \leq 12,$
	$2 \leq l \leq 23$	$-21 \leq l \leq 21$	$2 \leq l \leq 20$	$-57 \leqslant l \leqslant 49$
Reflections collected/unique	1462/1445 [<i>R</i> (int) = 0.0001]	25,267/7417 [<i>R</i> (int) = 0.0645]	2774/2661 [<i>R</i> (int) = 0.0001]	21,984/5214 [<i>R</i> (int) = 0.0001]
Completeness to theta = 59.93	88.7%	99.7%	86.4%	96.9%
Data/restraints/parameters	1365/0/226	3212/0/459	2522/0/467	2314/0/276
Goodness-of-fit on F^2	1.108	0.773	1.029	0.990
Final R indices $[I > 2\sigma(I)]$	$R_1 = 3.2\%, wR_2 = 9.5\%$	$R_1 = 3.7\%, wR_2 = 6.4\%$	$R_1 = 3.2\%, wR_2 = 8.7\%$	$R_1 = 5.5\%, wR_2 = 10.3\%$
R indices (all data)	$R_1 = 3.4\%, wR_2 = 9.6\%$	$R_1 = 12.4\%, wR_2 = 7.9\%$	$R_1 = 3.5\%, wR_2 = 8.9\%$	$R_1 = 17.6\%, wR_2 = 12.8\%$
Largest diff. peak and hole	0.112 and $-0.092 \text{ e } \text{A}^{-3}$	0.108 and -0.118 e Å ⁻³	0.093 and -0.110 e A ⁻³	0.123 and -0.091 e A ⁻³
CCDC Nos.	750097	750098	750200	750201

δ 7.34–7.22 (9H, m, H4, H15–H17, H21–H25), 7.20 (2H, br dd, J = 7.2, 1.9 Hz, H14, H18), 7.14 (1H, td, J = 7.5, 0.8 Hz, H6), 7.00 (1H, br t, J = 7.3 Hz, H5), 6.67 (1H, br d, J = 7.8 Hz, H7), 6.55 (1H, br d, J = 7.9 Hz, H10), 4.99 (1H, q, J = 8.0 Hz, H11), 4.97, 4.57 (2H, AB system, J = 15.9 Hz, H19), 2.82, 2.70 (2H, AB system, J = 14.5 Hz, H8), 1.50 (3H, s, H26), 1.33 (3H, d, J = 6.9 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 180.6 (C2), 167.9 (C9), 143.1 (C13),

141.8 (C7a), 135.7 (C20), 133.1 (C3a), 128.7 (C22,C24), 128.5 (C15,C17), 128.0 (C6), 127.5 (C23), 127.1 (C16), 127.1 (C21,C25), 126.2 (C14,C18), 122.9 (C4), 122.8 (C5), 109.3 (C7), 48.4 (C11), 46.3 (C3), 43.9 (C8), 43.6 (C19), 23.9 (C26), 21.5 (C12); IR (CHCl₃) $v_{\rm max}$ 3318, 3061, 3030, 2970, 2927, 2870, 1702, 1649 cm⁻¹; EIMS *m/z* (relative intensity) 398 (M⁺, 20), 250 (24), 120 (100), 91 (56). FABHRMS *m/z* calcd for C₂₆H₂₆N₂O₂: 398.1993, found: 398.1994.

Table 6

Crystal data for (3R,11R)-11d, (3S,11R)-11d, (3S,11S)-11a, (3R,11S)-11a

	(3 <i>R</i> ,11 <i>R</i>)- 11d	(3 <i>S</i> ,11 <i>R</i>)- 11d	(3 <i>S</i> ,11 <i>S</i>)- 11a	(3 <i>R</i> ,11 <i>S</i>)- 11a
Empirical formula	$C_{21}H_{24}N_2O_2$	$C_{21}H_{24}N_2O_2$	$C_{20}H_{22}N_2O_2$	$C_{20}H_{22}N_2O_2 \cdot H_2O$
Formula weight	336.42	336.42	322.40	322.40 + 18.02
Crystal size (mm)	$0.42 \times 0.40 \times 0.36$	$0.40 \times 0.34 \times 0.34$	$0.40 \times 0.34 \times 0.30$	$0.36 \times 0.32 \times 0.63$
Wavelength (Å)	1.54184	1.54184	1.54184	0.71073
Crystal system, space group	Orthorhombic, $P2_12_12_1$	Orthorhombic, $P2_12_12_1$	Orthorhombic, <i>P</i> 2 ₁ 2 ₁ 2 ₁	Orthorhombic, $P2_12_12_1$
Unit cell dimensions				
a (Å)	8.639(1)	10.179(4)	8.439(1)	12.7741(7)
b (Å)	10.190(5)	18.122(9)	10.325(3)	16.7934(8)
c (Å)	22.383(3)	20.578(4)	21.636(2)	17.6281(9)
Volume (Å ³)	1970.4(11)	3796(3)	1885.2(6)	3781.6(3)
Z, Z', Calculated density (mg/	4, 1, 1.134	4, 2, 1.177	4, 1, 1.136	4, 2, 1.164
mm ³)				
Absorption coefficient (mm ⁻¹)	0.580	0.602	0.587	0.077
F(0 0 0)	720	1440	688	1416
Theta range for data collection (°)	3.95–59.91	4.30-60.00	4.09-59.91	2.31-25.98
Limiting indices	$0\leqslant h\leqslant 9, 0\leqslant k\leqslant 11,$	$0\leqslant h\leqslant 11$, $0\leqslant k\leqslant 20$,	$0 \leqslant h \leqslant 9, 0 \leqslant k \leqslant 11,$	$-15\leqslant h\leqslant 15$, $0\leqslant k\leqslant 20$,
	$2 \leq l \leq 24$	$2 \leq l \leq 22$	$2 \leq l \leq 23$	$0 \leq l \leq 21$
Reflections collected/unique	1589/1514 [R(int) = 0.0001]	2804/2790 [R(int) = 0.0001]	1462/1441 [R(int) = 0.0001]	25,278/7400 [R(int) = 0.0001]
Completeness to theta = 59.93	89.1%	87.5%	88.4%	99.7%
Data/restraints/parameters	1350/0/234	2464/0/467	1392/0/226	3732/0/465
Goodness-of-fit on F ²	0.993	1.039	1.098	0.828
Final R indices $[I > 2\sigma(I)]$	$R_1 = 5.8\%$, $wR_2 = 16.8\%$	$R_1 = 3.2\%, wR_2 = 8.4\%$	$R_1 = 5.0\%, wR_2 = 14.8\%$	$R_1 = 3.8\%, wR_2 = 8.0\%$
R indices (all data)	$R_1 = 6.4\%$, $wR_2 = 17.3\%$	$R_1 = 3.9\%$, $wR_2 = 8.7\%$	$R_1 = 5.2\%, wR_2 = 15.5\%$	$R_1 = 9.0\%, wR_2 = 9.5\%$
Largest diff. peak and hole	0.219 and -0.228 e A ⁻³	0.096 and -0.100 e A^{-3}	0.144 and -0.146 e A ⁻³	0.133 and -0.162 e A ⁻³
CCDC Nos.	950202	950203	750099	750100

4.38. (35,1'*R*)-*N*-(1'-Phenylethyl)-2-(1-benzyl-3-methyl-2-oxo-2,3-dihydro-1*H*-indol-3-yl) acetamide (35,11*R*-11e)

Prepared from **9e** as a white solid (0.38 g, 40%), mp: 125–126 °C. $[\alpha]_{D}^{20} = +146.3$ (c 1, EtOH). ¹H NMR (300 MHz, CDCl₃): δ 7.31–7.18 (9H, m, H4, H15–H17, H21–H25), 7.14 (2H, br dd, J = 7.8, 1.8 Hz, H14, H18), 7.14 (1H, td, J = 7.8, 1,3 Hz, H6), 7.01 (1H, td, J = 7.6, 1.0 Hz, H5), 6.69 (1H, br d, J=7.5 Hz, H7), 6.57 (1H, br d, J = 7.8 Hz, H10), 5.06, 4.80 (2H, AB system, J = 15.8 Hz, H19), 4.97 (1H, q, J = 7.0 Hz, H11), 2.85, 2.71 (2H, AB system, J = 14.5 Hz, H8), 1.43 (3H, s, H26), 1.35 (3H, d, J = 6.9 Hz, H12); ¹³C NMR (75 MHz, CDCl₃): δ 180.6 (C2), 167.9 (C9), 143.0 (C13), 141.9 (C7a), 135.7 (C20), 133.1 (C3a), 128.7 (C22,C24), 128.4 (C15,C17), 128.0 (C6), 127.5 (C23), 127.1 (C21,C25), 127.0 (C16), 126.1 (C14,C18), 122.8 (C4), 122.7 (C5), 109.3 (C7), 48.5 (C11), 46.3 (C3), 43.9 (C8), 43.7 (C19), 24.0 (C26), 21.6 (C12); IR (CHCl₃) v_{max} 3316, 3060, 3029, 3005, 2971, 2927, 1702, 1656 cm⁻¹; EIMS m/z (relative intensity) 398 (M⁺, 36), 250 (30), 120 (100), 105 (11), 91 (48), 77 (11). FABHRMS *m/z* calcd for C₂₆H₂₇N₂O₂ (M+H⁺): 399.2073, found: 399.2064.

4.39. X-ray diffraction analyses

The studies for (3S,11R)-11a, (3R,11S)-11a, and (3S, 11R)-11c were carried out on a Bruker Smart 6000 CCD diffractometer using Mo K α radiation (λ = 0.7073 Å). A total of 1321 frames were collected at a scan width of 0.3° and an exposure time of 10 s/frame. These data were processed with the SAINT software package, provided by the diffractometer manufacturer, by using a narrowframe integration algorithm. An empirical absorption correction was applied. Data collections for (3R,11R)-11a, (3S,11S)-11a, (3S,11R)-11b, (3R,11R)-11d, and (3S,11R)-11d were done on a Bruker-Nonius CAD4 diffractometer using Cu Kα radiation $(\lambda = 1.54184 \text{ Å})$. The structures for (3S,11R)-**11b** and (3S,11R)-**11c** were solved by direct methods using the SHELXS-97²² program while the remaining compounds were solved using the siR2004²³ software. Both programs are included in the wingx v1.6 package.²⁴ The structural refinement was carried out by full-matrix least squares on F^2 . The non-hydrogen atoms were treated anisotropically, and the hydrogen atoms, included in the structure factor calculation, were refined isotropically. Data for (3S,11R)-11b show a disordered methyl group.²⁵ Atomic coordinates, bond lengths, bond angles and anisotropic thermal parameters are in deposit at the Cambridge Crystallographic Data Center. Tables 5 and 6 summarize the relevant data of the X-ray procedures.

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- 16. Monte Carlo searching protocol was applied to amides (3*R*,11*R*)-11a and (3*S*,11*R*)-11a using the MMFF94 molecular mechanics force field method as implemented in the sPARTAN04 program. This protocol gave 14 conformers within 8.5 kcal/mol from the global minimum energy conformation for both diastereomeric amides. These structures were submitted to geometry optimization using DFT calculations at the B3LYP/6-31G(d) level of theory from which 9 conformers account for 99.8% in the case of amide (3*R*,11*R*)-11a, while 8 conformers (99.9%) account for amide (3*S*,11*R*)-11a, within 3.0 and 2.0 kcal/mol from the global minimum energy conformation, respectively. Further DFT geometry optimizations were carried out at the B3LYP/DCDZVP level of theory as implemented in the Gaussian 03 W program, giving rise to corresponding molecular model sets of 8 and 6 conformers (3*R*,11*R*)-11a and (3*S*,11*R*)-11a amides, respectively, whose populations were estimated from the thermochemical parameters in the conformational equilibrium.
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- 18. Relative populations were calculated according to $\Delta G = \Delta H T\Delta S$ and $\Delta G = -RT$ ln *K* equations. For conformational equilibria of (3R,11R)-**11a** equations $K_{1,2} = n_2/n_1$, $K_{2,3} = n_3/n_2$, $K_{3,4} = n_4/n_3$, $K_{4,5} = n_5/n_4$, $K_{5,6} = n_6/n_5$, $K_{6,7} = n_7/n_6$, $K_{7,8} = n_8/n_7$, and $n_1 + n_2 + n_3 + n_4 + n_5 + n_6 + n_7 + n_8 = 1$ were used while for (3S,11R)-**11a** equations $K_{1,2} = n_2/n_1$, $K_{2,3} = n_3/n_2$, $K_{3,4} = n_4/n_3$, $K_{4,5} = n_5/$, n_4 , $K_{5,6} = n_6/n_5$, and $n_1 + n_2 + n_3 + n_4 + n_5 + n_6 = 1$ were used, where K_{ij} stands for equilibrium constants and n_i stands for the molar fraction.
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